

## Appendix 2 Risk Exceptions - Residual = 16 or higher OR Rating change

Council	Ref.	Name	Description	Gross Impact	Gross Probability	Gross Risk		Current Impact	Current Probability	Residual Risk	Last updated	Change	Since	Previous rating		
CDC	C02	<b>CDC - ICT Loss of Systems</b>	Failure of ICT services including telephones and remote access. Leading to a negative impact on customers, loss of business continuity and cost to the council (in terms of resources and reputation.)	4	4	16	▲	4	4	16	▲	Dec-16	➡	Sep 16	16	▲
<p>Current Controls : BCP Plan Disaster recovery (DR) arrangements (CDC) Recovery site (CDC) Back up of systems Process and standards (compliance regime)                      Assurances : Formal auditing, IT Health check and benchmarking with best in private and public sector.</p> <p><b>Risk - Update</b>                      The IT strategy has been approved by JCC and will complete the full democratic process on 12th March. A Transformation programme will then be initiated to deliver required changes.</p> <p>We have also commenced discussions with the Emergency Planning Officer who is engaging with business areas to understand business continuity requirements.</p> <p>Once requirements are established the IT service can then analyse, provide options and implement suitable solutions.</p>																
Shared	S21	<b>Oxfordshire Devolution Deal and Unitary Authority (ODD&amp;UA) - Stage 1 Options Appraisal Oxfordshire Devolution Deal and Unitary Authority (ODD&amp;UA)</b>	The Council fails to: grasp the opportunity for transformation/reform across all agencies to benefit the local area and deliver further efficiencies • ensure all stakeholders (internal and external) are engaged and understand options as they emerge • obtain and provide all relevant data to support options appraisal resulting in long term negative impact upon better outcomes for our area including quality of life for local residents, economic growth, financial sustainability and on the council's reputation itself.	5	3	15	●	5	3	15	●	Dec-16	➡	Sep 16	10	●
<p>Current Controls : • PwC appointed as independent consultants on behalf of all Oxfordshire Districts, plus West Oxfordshire and South Northamptonshire Councils. Lead Officer /S151 sign off of data. • Member with lead responsibility = Leader • Officer with lead responsibility = Head of Transformation • Regular meeting of Oxfordshire District Leaders and Chief Executives • District Councils Communications Group established • Communications and Information Sharing Protocol in place between the partners and county council • SNC Leader engaged as a key stakeholder • SNC has created a new portfolio for a member of Cabinet to be responsible for Devolution, Transformation and Change. • Phase 2 of the management review has been put on hold to minimise organisational disruption through change                      Assurances : • Project timeline • Regular meetings of Leaders and Chief Executives • Regular liaison with PwC team and Oxfordshire District Councils</p>																
CDC	P01	<b>Police and Crime Commissioner (PCC) - Thames Valley</b>	The Council fails to engage/influence the PCC/ PCP Doesn't add value to partnership work of the council PCC commissions projects that don't align with strategic objectives of the council. Loss/reduction of funding to Community Safety. Becomes isolated from PCC	3	3	09	●	3	3	09	●	Dec-16	➡	Sep 16	04	★

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			leading to failure to achieve corporate objectives and loss of reputation										
Current Controls : Effective local Community Safety Partnership meetings Elected member representation at Police and Crime Panels (PCP) Elected Member representation at Oxfordshire Board (OSCP) arrangements. Elected Member representation at CSP Alignment with PCC Policing Plan Elected membership in accordance with agreed PCP Steering Group Policy Assurances : PCC subject to scrutiny by PCP. CDC chair of CSP sits on PCP.													
CDC	<b>S18</b>	<b>Banbury Development</b>	The sites are complex and in multiple ownership. There are conflicting development pressures and challenges with site viability	4	4	16	3	2	06	Dec-16		Sep 16	16
Current Controls : Regular meetings of the Project Board Adopted Asset management Strategy and review of Council car park sites Interdependencies map produced showing progress on all major development sites in Banbury Adopted local Plan leading to Completion of Banbury Masterplan and Canalside Supplementary Planning Document (SPD) Soft Market testing of sites to be concluded in February 2016 Assurances : Regular risk monitoring and review discussions by the project board													
Shared	<b>P04</b>	<b>South Midlands LEP (SEMLEP)</b>	The partnership doesn't add value to the work of the councils, undertakes projects that don't align with strategic objectives or the council is unable to influence the partnership's agenda.	4	4	16	2	2	04	Jan-17		Sep 16	09
Current Controls : Partnership Work Programme / Forward Plan, Resource provision for Partnership work, Senior management and Cabinet Member /Leadership Involvement Assurances : Reporting to Planning Policy and Regeneration Strategy Committee.													
CDC	<b>P05</b>	<b>Oxfordshire LEP</b>	The partnership doesn't add value to the work of the council, undertakes projects that don't align with strategic objectives or the council is unable to influence the partnership's agenda.	4	4	16	2	2	04	Jan-17		Sep 16	09
Current Controls : Partnership Work Programme / Forward Plan, Resource provision for Partnership work, Senior management and Member Involvement Assurances : Portfolio briefing Growth Board Regular liaison meetings with OLEP Revised SEP agreed by Executive following substantive input from CDC.													